



U.S. Environmental Protection Agency, Region IX

# Financial Statement for Trusts \*

(If additional space is needed, attach a separate sheet)

1. Name of Trust

2. Trustor Name and Address

3. Phone number

## Section I

### Trustee Information

4. Name and address

5. Phone number

6. Name and address

7. Phone number

## Section II.

### Beneficiary Information

8. Name, address and phone number

9. Name, address and phone number

10. Name, address and phone number

## Section III.

### General Financial Information for Trust

11. Last three years Federal and state income tax returns filed

12. Adjusted gross income on returns, per year

13. List all states these returns were filed in:

14. Bank accounts (include Savings & Loans, Credit Unions, IRA and Retirement Plans, Certificates of Deposit, etc.)

Name of Institution	Address	Type of Account	Account No.	Balance
Total (Enter in Item 23)				

\* This information is requested pursuant to Section 104(e) of the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. § 9604, and is not subject to approval of the Paperwork Reduction Act of 1980, 44 U.S.C. § 3501, et seq.

Section III - continued

General Financial Information on Trust

15. Charge cards, Lines of credit

Type of Account or Card	Name and Address of Financial Institution	Monthly Payment	Credit Limit	Amount Owed	Credit Available
Totals (Enter in Item 28)					

16. Safe deposit boxes rented or accessed (List all locations, box numbers, and contents including estimated market value)

17. Real Property (Brief description of property and type of ownership)	Address (Include county, state and parcel number)			
a.				
b.				
c.				

18. Insurance Policies (Name of Company)	Policy Number	Type	Face Amount	Available Loan Value
Total (Enter in Item 24)				

19. Additional Financial Information (Court and administrative proceedings by or against the Trust, legal claims[whether asserted or not], settlement agreements, employment agreements, consulting and similar agreements, "golden parachute" agreements, bankruptcies, repossessions, recent transfers of assets for less than full value, anticipated increases in income, real estate being purchased under contract, real or personal property being held on behalf of the Trust, condition of health, information on trusts, estates, profit-sharing plans, inheritance, etc., in or of which the Trust is a participant or beneficiary).

20. Indicate any business entity in which the Trust owns five (5) percent or more of the outstanding stock (or other equity interest).

Name of Business Entity	Address	Percentage of Stock	Date Purchased

Section IV.

Asset and Liability Analysis

Description			Current Market Value	Liabilities Balance Due	Equity in Asset	Amount of Monthly Payment	Name and Address of Issuer or Lien/Note Holder/Obligee	Date Pledged	Date of Final Payment
21. Cash and precious metals or gems									
22. Bank accounts									
23 a. Stocks									
b. Bonds									
c. Mutual Funds, Partnership Interest, Securities and other Investments									
24. Cash or loan value of Insurance.									
25. Vehicles (Model, year, license)									
	a.								
	b.								
	c.								
26. Real property (From item 17)		a.							
		b.							
		c.							
27. Other tangible assets (including art, boats, jewelry, options, etc.) or financial debts owed to the Trust in excess of \$1000.									
	a.								
	b.								
	c.								
	d.								
28. Bank revolving credit									
29. Other Liabilities (Include judgements, notes, tax liens, etc.)	a.								
	b.								
	c.								
	d.								
	e.								
	f.								
	g.								
30. Federal and state Taxes Owed									
31. Totals					\$	\$	Enter in item 39		

List all transfers real & personal property, including cash (by gift or loan made not at fair market terms) to or from the Trust within the last 3 years (items with a current market value of \$1000.00 or more):

Date	Current Market Value	Sale Price Trust Received (if any)	Description of Property Transferred	To Whom (Indicate relationship to the Trust)	Nature and Conditions of Transfer

Section V. Monthly Income and Expense Analysis of Trust Fund				
Income			Necessary Expenses	
Source	Gross	Net		
32. Interest - Dividends	\$	\$	37. Trustee service fees	\$
33. Net business income			38. Rent (Do not show mortgage listed in item 26)	
34. Rental income			39. Installment payments	
35. Other Income (e.g. investment income, capital gains -- specify type)			40. Utilities (Gas \$ _____ Water \$ _____	
			Electric \$ _____ Phone \$ _____ )	
			41. Transportation	
			42. Insurance (specify type)	
			43. Other expenses (specify)	
36. Total	\$	\$	44. Total	\$

Certification	
Under penalties of perjury, I declare that to the best of my knowledge and belief this statement of assets, liabilities, and other information is true, correct, and complete.	
45. Your signature	46. Date